

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 22402-PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/089266	
INTERNATIONAL APPLICATION NO. PCT/US00/26462		INTERNATIONAL FILING DATE 9/27/2000		PRIORITY DATE CLAIMED 9/27/1999	
TITLE OF INVENTION Digital Interference Holographic Microscope and Methods					
APPLICANT(S) FOR DO/EO/US University of South Florida, Myung K. Kim					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:					

U.S. APPLICATION NO. (if known) 37 CFR 1.53 60/156,253		INTERNATIONAL APPLICATION NO. PCT/US00/26462		ATTORNEY'S DOCKET NUMBER 22402-PCT	
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21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :
 Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO \$1,040.00

International preliminary examination fee (37 CFR 1.482) not paid to
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO
 but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
 but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00

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Surcharge of **\$130.00** for furnishing the oath or declaration later than
 months from the earliest claimed priority date (37 CFR 1.492 (c)). ☐ 20 ☒ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	20 - 20 =	0	x \$18.00	\$0.00
Independent claims	2 - 3 =	0	x \$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00
TOTAL OF ABOVE CALCULATIONS =				\$1,020.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
 are reduced by 1/2.

SUBTOTAL =

Processing fee of **\$130.00** for furnishing the English translation later than
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Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jacqueline E. Hartt, Ph.D.
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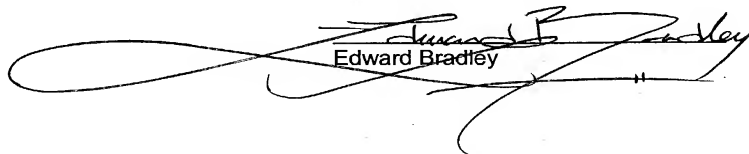
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37405
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10099266 10/089266

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the foregoing is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, Express Mail No. EL802664897US, this 27th day of March, 2002.


Edward Bradley

11/PRTS

10089266 10/089266

JC15 Rec'd PCT/PTO 27 MAR 2002

DIGITAL INTERFERENCE HOLOGRAPHIC MICROSCOPE AND METHODS

BACKGROUND OF THE INVENTION

Cross-Reference to Related Application

5 This application claims priority to provisional application 60/156,253, filed September 27, 1999.

Field of the Invention

10 The present invention relates to microscopic imaging, and, more particularly, to holographic microscopy for reconstruction of three-dimensional objects and optical tomographic imaging for selectively imaging cross sections of an object.

Description of Related Art

15 Imaging of microscopic objects is an essential art, not only in biology and medicine, but also in many other fields of science and technology, including materials science, microelectronics engineering, and geology. Modern microscopy takes advantage of discoveries on the interaction between electromagnetic and other fields with material objects, and has taken on numerous incarnations, such as electron transmission and scanning microscopes, the scanning tunneling microscope, the atomic force microscope, and the laser
20 scanning confocal microscope (Isenberg, 1998).

 Rapid progress in electronic detection and control, digital imaging, image processing, and numerical computation has been crucial in advancing modern microscopy. By equipping an optical microscope with a digital or video camera, a range of image processing and pattern recognition techniques can be applied for automated image acquisition and analysis (Herman
25 and Lemasters, 1993).

better than a few-micrometer accuracy; another is that the overlap of images from object planes several micrometers apart leads to blurring and degradation of images. Usually the only remedy available is physical sectioning of the specimen into thin slices, which precludes a large range of materials from being studied.

developed just over a decade ago (Sheppard and Shotton, 1997). by illumination of a single
object point and placement of a detection aperture at the image point, the detector behind the
aperture registers a light signal originating only from the object point. A two- or three-
dimensional image is constructed by pixel-by-pixel scanning of the object volume. The whole
of the resulting image is sharply in focus, and the size of the acquirable image is limited only
by the stability and speed of the scanning and processing system.

in imaging wet and delicate biological samples has been limited because of the requirement to maintain a constant surface-probe distance with accuracy and stability. It is more suitable for the study of macromolecular structures, as with other related scanning devices that utilize electron tunneling, atomic force, and other subtle interactions on the atomic and molecular level.

Holography was originally invented in an attempt to improve the resolution of a microscope (Hariharan, 1996). Both the amplitude and phase information of the light wave are recorded in a hologram by the interference of an object wave that is to be imaged with a reference wave of simple structure such as a plane or spherical wave. The interference pattern is recorded in a variety of media, most commonly on a photographic plate. The object wave is reconstructed as one of the diffraction patterns when a replica of the reference wave is incident on the photographic plate. The resulting image is an exact copy of the light wave that originally emanated from the object, and thus has the property of perspective vision.

Because the holographic image retains the phase as well as the amplitude information, a variety of interference experiments can be performed, and this is the basis of many interferometric applications in metrology. It is possible accurately to measure deformation and other variations of an object at a submicrometer level because of advances in digital imaging and numerical computing technology. Thus it is often advantageous to replace steps of the holographic procedure with digital processes (Yaraslavskii and Merzlyakov, 1980).

In computer-generated holograms (CGH) the interference pattern is computed from a mathematical definition of a virtual object and reference (Trester, 1996). The pattern is output to a hard-copy device, and laser illumination results in an optical hologram image.

On the other hand, in computer-reconstructed holograms (CRH), the optical interference pattern of a real object and reference is recorded using an electronic or digital camera (Schnars and Jüptner, 1996). The pattern is digitized and stored in a computer, and the holographic image is recreated on the computer by numerical calculation.

In either CGH or CRH, the numerical calculation basically imitates the optical diffraction process as the light wave propagates from the object to the hologram plane or from the hologram plane to the image plane. This can be accomplished using Fresnel diffraction

theory or Huygens wavelet theory (Kreis et al, 1997). An important aspect of research in this area is in attempts to minimize the computational load using, for example, segmentation of holograms and horizontal-only parallax (Karnaukhov et al., 1998; Yang et al., 1998a,b).

Digital holography alleviates the need for wet chemical processing of a photographic plate, although at some expense of resolution. However, once the amplitude and phase (i.e., all the essential information) of the light wave are recorded numerically, one can easily subject these data to a variety of manipulations, and so digital holography offers capabilities not available in conventional holography. For example, the phase information of the light wave is available directly from the numerical reconstruction and greatly simplifies interferometric deformation analysis (Seebacher et al., 1998; Kreis et al, 1998; Cuche et al, 1999; Brown and Pryputniewicz, 1998).

Holography can be applied to microscopy in two alternative ways. In one, a hologram of a microscopic object is taken directly, and the hologram is inspected using a microscope; in the other, a microscope is used first to magnify the object image, and the hologram is taken of that image. Holographic microscopy has been particularly useful in particle analysis, where a particle count has to be obtained in a volume of fluid (Vikram, 1992). With a conventional microscope, the constant motion of particles into and out of the focal plane makes it difficult to ascertain an accurate count as the focal plane is scanned across the entire sample volume. A holographic micrograph freezes the three-dimensional field, and a particle count can proceed by focusing on successive planes.

Holographic microscopy in three-dimensional imaging applications has been limited partly because of the inherent scale distortion of an optical microscope image of a volume object. The axial magnification goes as the square of the lateral magnification, so that the two directions magnify with different ratios, and the lateral magnification also depends on the

axial distance. When the hologram is viewed by focusing on a plane, the same problem of out-of-focus image blurring is present as in an optical microscope (Zhang and Yamaguchi, 1998; Poon et al., 1995).

Application of digital holography in microscopy holds potentially attractive benefits (Schilling et al., 1997). In principle, once the amplitude and phase information of the object image is numerically stored, it can be manipulated by image processing techniques for removal of distortion and out-of-focus blurring. Interference measurements can yield subwavelength resolution of features, and particle analysis and feature recognition can be automated with greater efficiency.

Another imaging technique, tomography, has been utilized in biomedical and materials sciences (Robb, 1997), with optical tomography most useful in microscopic imaging because of the short wavelength and limited penetration depth of most biological surfaces. For example, laser confocal microscopy (Sheppard and Shotton, 1997) uses aperturing of both the illuminated sample volume and the detector aperture, thereby rejecting all scattered light other than from the focal volume. Optical coherence tomography (Huang et al., 1991; Morgner et al., 2000) is a time-of-flight measurement technique, using ultrashort laser pulses or a continuous-wave laser of very short coherence time. In both of these methods the signal is detected one pixel at a time, and the three-dimensional image is reconstructed by scanning the three-dimensions pixel by pixel. Although microscanning using piezo actuators is an important technique, being able to obtain image frames at a time would have technical advantages.

By recording the phase as well as the intensity of light waves, holography allows reconstruction of the image of 3D objects, and gives rise to many metrological and optical processing techniques (Hariharan, 1996). It is now possible to replace portions of the

holographic procedure with electronic processes (Yaroslavsky and Eden, 1996). For example, in digital holography the hologram is imaged on a CCD array, replacing photographic plates of conventional holography. The digitally converted hologram is stored in a computer, and its diffraction is numerically calculated to generate simulation of optical images.

5 With digital holography, real-time processing of the image is possible, and the phase information of the reconstructed field is readily available in numerical form, greatly simplifying metrological applications (Cuche et al., 1998). Previously limiting memory and speed factors have improved (Trester, 1996; Piestun et al., 1997). On the other hand, for the purpose of tomographic imaging, although the hologram produces a 3D image of the optical
10 field, this does not by itself yield the tomographic distance information to the object surface points, other than by focusing and defocusing of the object points, which is really a subjective decision (Poon et al., 1995; Zhang and Yamaguchi, 1998a). The distance information can be obtained in time-of-flight-type measurements, or it can be determined by counting the number of wavelengths or some multiples of it, which is the basis of various interference techniques.

15 One technique is the interference of two holograms recorded at two different wavelengths, resulting in a contour interferogram with the axial distance between the contour planes inversely proportional to the differences in wavelengths. In digital holography, it is possible to extend the process to recording and reconstruction of many holograms without introducing any wavelength mismatch or crosstalk. If a number of regularly spaced
20 wavelengths are used for recording and reconstruction, then the peaks of the cosine-squared intensity variation of two-wavelength interference become sharper and narrower, as when a number of cosines with regularly spaced frequencies are added.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a simple digital holographic method and apparatus for reconstructing three-dimensional objects with a very narrow depth of focus or axial resolution.

5 It is another object to provide such a method and apparatus that affords submicrometer resolution in both the lateral and the longitudinal directions.

It is a further object to provide such a method and apparatus wherein the blurring and degrading of images due to out-of-focus object planes are substantially completely suppressed.

10 It is an additional object to provide such a method and apparatus that carries out an interference process in numerical virtual space.

Another object is to provide such a method and apparatus wherein the obtained optical sectioned images can be reassembled into a three-dimensional digital model that can be further manipulated for specific applications, such as correction of scale distortion, arbitrary section and cutaway views, and automatic feature enhancement and identification.

15 A further object is to provide a method and apparatus for imaging a three-dimensional object having a diffuse surface.

An additional object is to provide such a method and apparatus for generating a three-dimensional numerical model of the imaged surface structure.

20 These and other objects are achieved by the present invention, a first embodiment of which comprises an apparatus and method for imaging three-dimensional microscopic volume objects with digital holographic microscopy.

As is known in the art, interference of two holograms recorded at two different wavelengths results in a contour interferogram, with the axial distance between the contour planes inversely proportional to the difference in wavelengths. In CRH, unlike in

conventional holography, the reconstruction of each hologram is done using the corresponding wavelength that was actually used in the recording process. Therefore, it is possible to extend the process to recording and reconstruction of many holograms without introducing any wavelength mismatch. If a number of regularly spaced wavelengths are used for recording and reconstruction, then the peaks of cosine-squared intensity variation of two-wavelength interference becomes sharper and narrower, as when a number of cosines with regularly spaced frequencies are added.

The present invention addresses a practical problem in microscopy, where the axial magnification goes as the square of the transverse magnification. Even at moderate magnification, it is difficult to bring the entire microscopic object into focus, while the out-of-focus portions of the object image contribute to blurring and noise of the focal plane image. Confocal scanning microscopy (CSM) addresses this problem very successfully (Sheppard and Shotton, 1997), although the requirement of stability and precision of lengthy mechanical scanning can be quite significant.

A hologram has depth perception and axial resolution, but determination of axial location in particle analysis, for example, depends only on the focusing of the image as the depth is varied (Zhang and Yamaguchi, 1998b), and out-of-focus blurring presents the same problem as in microscopy.

The present invention involves no mechanical motion, and wavelength scanning and multiple exposure can be electronically automated for speed and stability. Furthermore, many of the holographic interferometric and optical processing techniques can be applied to the resulting images for various applications.

The principle of wavefront reconstruction by holography is well known. The electric field E_0 arriving from an object interferes with a planar, or other simply structured, reference wave E_r , resulting in an intensity pattern of:

$$I \sim |E_r + E_0|^2 = |E_r|^2 + |E_0|^2 + E_r^* E_0 + E_r E_0^*$$

which is recorded in some manner. In CRH one may subtract the zero-order terms $|E_r|^2$ and $|E_0|^2$, and the remaining terms give rise to the holographic twin images. For simplicity, one neglects the effect of the conjugate image and considers the third term in the above equation only, and also lets the reference wave be planar and incident perpendicular to the hologram plane, so that $E_r \sim 1$. In reconstruction, if one also uses E_r as the read reference wave, then the diffracted wave is proportional to E_0 , a replica of the original object wave (or its conjugate E_0^*).

Now consider an object point P located at (x_0, y_0, z_0) , which emits a Huygens spherical wavelet proportional to $A(P)\exp(ikr_p)$ measured at an arbitrary point $Q = (x, y, z)$, where $r_p = |\mathbf{r}_p - \mathbf{r}_Q|$ is the distance between P and Q , and the $1/r$ dependence of the amplitude is neglected. The wave propagates in the general z direction. The factor $A(P)$ represents the field amplitude and phase at the object point. For an extended object, the field at Q is proportional to the above wavelet field integrated over all the points on the object:

$$E_k(Q) \sim \int_P d^3\mathbf{r}_P A(P) \exp(ikr_p)$$

This is the field that is present in the vicinity of the object under monochromatic illumination, and this is also the field reconstructed by holography. The factor $\exp(ikr_p)$ represents the propagation and diffraction of the object wave. Now suppose a number of copies of the

electric field are generated by varying the wave numbers k (or wavelengths λ), all other conditions of object and illumination remaining the same. Then the resultant field at Q is:

$$\begin{aligned}
 E(Q) &\sim \sum_k \int_P d^3 \mathbf{r}_P A(P) \exp(ikr_P) \\
 &= \int_P d^3 \mathbf{r}_P A(P) \sum_k \exp(ikr_P) \\
 &\sim \int_P d^3 \mathbf{r}_P A(P) \delta(\mathbf{r}_P - \mathbf{r}_Q) \sim A(Q)
 \end{aligned}$$

That is, for a large enough number of wave numbers k , the resultant field is proportional to the field at the object, and nonzero only at object points. In practice, if one uses a finite number N of wavelengths at regular intervals of $\Delta\lambda$ (with corresponding intervals of frequencies Δf), then the object image $A(P)$ repeats itself at axial distances $\Lambda = \lambda^2/\Delta\lambda = c/\Delta f$ with an axial resolution of $\delta = \Lambda/N$. By using appropriate values of $\Delta\lambda$ and N , the contour plane distance Λ can be matched to the axial extent of the object and δ to the desired level of axial resolution. Note that for a given level of axial resolution δ , the required range of wavelengths $N\Delta\lambda$ is the same as the spectral width of low-coherence or short-pulse lasers in optical coherence tomography.

Optical sectioning microscopy by wavelength-scanning digital interference holography (WS-DIH) proceeds as follows. A microscopic object is illuminated by a laser, and a microscope lens forms a real magnified image of the object. Light from this intermediate image and a reference beam interferes at a CCD array surface, which is recorded digitally into a computer. The laser wavelength is stepped by $\Delta\lambda$ for the next exposure, and the process is

repeated N times, which completes the recording process. The axial scale of the object determines the necessary wavelength step $\Delta\lambda$. Using the example of a 10- μm -radius sphere and $50\times$ lateral magnification, the longitudinal extent of the intermediate image is $20\ \mu\text{m} \times 50^2 = 50\ \text{mm}$, which sets the minimum for the contour plane distance Λ . Using 600-nm light, the required frequency step is $\Delta f = c\ \Delta\lambda/\lambda^2 = 6\ \text{GHz}$. To obtain effective axial resolution of, say, $1\ \mu\text{m} = 20\ \mu\text{m}/20$, one needs to take 20 hologram images while scanning the laser frequency up to $120\ \text{GHz} = 4\ \text{cm}^{-1}$. These parameters are easily within range of many laser systems, including dye lasers and semiconductor lasers. Note that the frequency step Δf is inversely proportional to the object axial scale Λ .

The set of N digitally stored holograms represents the complete information required for computational reconstruction of the three-dimensional image. For each hologram, after subtraction of zero-order intensities, a diffraction theoretical formula is applied to compute the light wave field of the image. Repeat the computation of N holographic images, and they are added together for digital interference. The resultant image is an intensity distribution pattern that corresponds to three-dimensional map of scattering centers of the object, such as the boundary surfaces, internal structures, and other points of irregularities in absorption or dispersion of light.

The result can be displayed as two-dimensional cross sections of the object at an arbitrary distance from the hologram plane. With the set of numerical representation of the images, further manipulation and processing is possible. For example, the microscopic image distortion, including the unequal lateral-axial magnifications and the axial dependence of the lateral magnification, can be processed out by applying corrective scale factors. The corrected cross sections can then be reassembled into a three-dimensional computer model with natural

aspect ratios. The three-dimensional computer model is then available for application-specific manipulations such as viewpoint changing, cutaway views, feature enhancement, and others.

The system of the present invention takes advantage of the unique power of digital holography to provide a simple and versatile mode of three-dimensional microscope imaging.

One may put this concept in perspective in terms of its potential advantages over other imaging modes and of possible difficulties that may arise.

- The lateral resolution should be as good as conventional optical microscopy, except that the present system is a coherent imaging system, and so one needs to exercise care with speckle noise and other interference effects. On the other hand, the multiple exposure of the scheme of the present invention tends to have a signal-averaging effect. Studies seem to indicate such an enhancement of image quality.
- The axial or longitudinal resolution is excellent in comparison with conventional optical microscopy. Scanning confocal microscopy was developed to address the problem of axial resolution in conventional optical microscopy. The axial resolution of a confocal system is determined mainly by the focal depth of the illuminated spot, to $\sim 0.5 \mu\text{m}$. With WS-DIH, a comparable axial resolution may be expected or even exceeded. Digital holography has been used to demonstrate $\sim \lambda/10$ or $\sim 50 \text{ nm}$ vertical resolution in the inspection of a microelectronics circuit.
- The wavelength scanning system of the present invention has no mechanical moving components. In principle, the amount of voxel (volume element) data generated by WS-DIH is the same as in SCM: $M_x \times M_y \times N$, where the M s are the number of pixels in the x and y directions and N is the number of z sections. In SCM, the system has to raster scan each plane pixel by pixel and then repeat the process for N planes. The

FIG. 10A are x - y cross sections of the accumulated array at various axial distances z ; **FIG. 10B** are z - y cross sections of the accumulated array at various x values starting from the left end of the head, $x = 1.84$ mm, to near the middle of the head, $x = 0.52$ mm.

FIG. 11 is an animated three-dimensional reconstruction of the insect's illuminated surface.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description of the preferred embodiments of the present invention will now be presented with reference to FIGS. 1-11.

The apparatus **10** of the present invention is depicted in FIG. 1. A ring dye laser **12** provides a 595.0-nm laser field of ~ 50-mW power with a linewidth of ~ 50 MHz. The laser beam is expanded with a microscope objective **14** to 20 mm diameter and divided into three parts using beam splitters **16,18**. One of these provides the planar reference beam **20**, while the other two **22,24** constitute the object beam. The object consists of two transparency targets attached to the back-reflecting mirrors (M) **26,28** in separate optical arms, in order to avoid obstruction of one object by the other in the same optical path. One target **30** (OBJ1) is a checkerboard pattern with 2.5-mm grid size, and the other target **32** (OBJ2) is a transparent letter "A" that fits inside an opaque square of side 13 mm.

The object **22,24** and reference **20** beams are combined in a Michaelson interferometer arrangement and sent to a translucent Mylar screen S **34**. The object distances to the screen are approximately 149 and 167 cm. The interference pattern on the screen is imaged, for example, by digital camera **36**, such as a Kodak DC120, through another lens L2 **38** for adjustment of focus and magnification. The exemplary camera **36** has 960×1280 pixels with

$10 \times 10 \mu\text{m}^2$ pixel size. The calculations presented here use 256×256 pixel images of screen area $13 \times 13 \text{ mm}$, so that the effective pixel resolution on the screen is $51 \mu\text{m}$, although this is not intended to be limiting. The corresponding minimum distance for the object is then 1.1 m , in order to accommodate the interference between rays emanating from the two ends of a

5 13-mm object. For each hologram, the reference beam and the object beam are imaged separately, so that these images can be subtracted before reconstruction and the resulting images do not contain zero-order terms. It is not attempted to eliminate the conjugate image. The process is repeated a plurality of times, here up to 11 laser frequencies spaced 1.0 GHz apart, to achieve a desired axial period Λ of the resultant hologram images, here 30 cm , and

10 a desired axial resolution δ , here 3.0 cm .

For reconstruction of images, a software package, for example, a MatLab program, encodes the Fresnel diffraction, which is equivalent to Eq. (2) with appropriate approximations (Goodman, 1968):

$$E(x, y; z) = \exp \left[(ik/2z) (x^2 + y^2) \right] F \{ E_0(x_0, y_0) S(x_0, y_0; z) \} [\kappa_x, \kappa_y]$$

where

$$S(x, y; z) = - (ik/z) \exp [ikz + (ik/2z) (x^2 + y^2)]$$

15 $\kappa_x = kx/z$, $\kappa_y = ky/z$, and $F\{f\}[\kappa]$ represents a Fourier transform f with respect to the variable κ .

FIGS. 2A-2D illustrate the input images of the reference (R , FIG. 2A), the object (O , using OBJ1, FIG. 2B), the interference hologram between the two (H , FIG. 2C), and the subtracted image $E = H - R - O$ (FIG. 2D). The holographic image in FIG. 2E of the single

object is reconstructed at $z_i = 149$ cm, and shows typical resolution and quality of the reconstructed images (z_o and z_i are object and image distances, respectively, measured from the screen). The remaining fringe pattern inside the squares is due to the out-of-focus twin image.

FIG. 3A shows the hologram with both objects OBJ1 and OBJ2 on, after subtraction of reference and object images. The images are reconstructed near the two object distances (FIG. 3B) $z_{i1} = 149$ cm and (FIG. 3C) $z_{i2} = 165$ cm. The two images are substantially indistinguishable and contain images of both objects, although it is possible to discern differences in the sharpness of focus between the two images. The axial resolution determined by focal sharpness is at least ~ 15 cm, as can be seen in FIG. 4A, where the vertical axis is a slice of the reconstructed image along the dotted vertical line of FIG. 3C and the horizontal axis is the image distance z_i from 140 to 190 cm.

In FIG. 4B two holograms with frequency separation of 1.0 GHz are combined, showing the expected cosine-squared modulation with a period of 30 cm, whereas in FIG. 4C, two frequencies 2.0 GHz apart are combined and the period is now 15 cm. In FIG. 4D, three relative frequencies of 0.0, 1.0, and 2.0 GHz are combined, and the narrowing of interference maxima is evident (cf. FIGS. 4B and 4D). Also note that the images of OBJ1 and OBJ2 focus at different z_i locations: The three bright areas near $z_i = 150$ cm (and also at 180 cm) are the three bright squares of OBJ1's checkerboard, while the bright patch near $y = -3.0$ mm, $z_i = 165$ cm corresponds to the lower left corner of OBJ2's letter "A." Carrying this process further, eleven holograms with frequencies 0.0, 1.0, 2.0, ..., 10.0 GHz are combined in FIG. 4E, which results in an axial resolution of ~ 3 cm, as expected.

The images at two distances are shown in FIG. 5A for $z_{11} = 149$ cm and $z_{12} = 165$ cm. Now each of the images contains only one of OBJ1 or OBJ2, and the out-of-focus images are substantially suppressed.

The invention thus demonstrates the use of multiwavelength interference of computer-
5 reconstructed holograms for high axial resolution of three-dimensional images. The apparatus is very simple and amenable to electronic automation without mechanical moving parts. Even with less-than-optimal laser and imaging systems, the theoretically predicted axial resolution is easily achieved. The main source of imperfection in FIGS. 4A-4E, for example, was the mode hop and drift of the nonstabilized laser frequency. Another embodiment may include,
10 for example, the use of a compact diode laser, direct transfer of an image to a CCD array surface, and automation of the multiple exposure for speed and stability. The technique can be applied to both microscopic and telescopic imaging for cross-sectional imaging of objects of various scales. The cross-sectional images can then be recombined with appropriate scaling for the removal of distortion, resulting in a synthesis of three-dimensional models that
15 can be subjected to further analysis and manipulation.

In a second embodiment of the present invention, a holographic apparatus 40 (FIG. 6) comprises a laser, for example, a ring dye laser 41. A portion, here 50 mW, of the laser's output is passed through a first neutral density filter 42 and is expanded to a predetermined diameter, here 10 mm, with a beam expander and spatial filter 43.

20 The beam 90 is apertured 44 to a desired diameter, here 5 mm, and directed to a first beam splitter 45. A first portion 91 of the split beam passes through a second neutral density filter 46 and becomes the reference beam 92. A second portion 93 of the split beam is

directed to the object **80**, here a damselfly specimen, shown under laser illumination in FIG. 6A, wherein the eyes, mouthpiece, and front several legs are visible.

The scattered light **94** from the object **80** is combined with the reference beam **92** at a second beam splitter **47** to form an interference beam **95**, which then passes through a magnifying lens **48** to image the optical image at the camera's **49** focal plane **50** onto infinity. The camera **49**, for example, a digital camera (such as model DC290, manufactured by Kodak, Rochester, NY), is focused at infinity, so that it records a magnified image of the optical intensity at the plane S **50**. The object-to-hologram distance **51** here is 195 mm. The object beam **94** preferably should be apertured so that it only illuminates the area of the object **80** that is to be imaged; otherwise, spurious scattering can seriously degrade the contrast and resolution of the reconstructed image.

At a given laser wavelength, three images are recorded: a hologram of the object and reference interference ($HH^* = |O+R|^2$, FIG. 8A), the object only (OO^* , FIG. 8B), and the reference only (RR^* , FIG. 8C). The laser wavelength is then stepped, starting from $\lambda_0 = 601.7$ nm at $\Delta\lambda = 0.154$ -nm intervals for $N=10$ steps, so that the expected axial range is $\Lambda = 2.35$ mm and the axial resolution is $\delta = 0.12$ mm.

The digitally recorded images are transferred to a computer **52**, where software means **53**, for example, a set of MatLab[®] scripts, are used for numerical reconstruction. A desired area, here 4.8×4.8 mm, of the image is interpolated to a 512×512 pixel matrix. In an alternate embodiment, a CCD array is used instead of the camera **49**, wherein the image magnification and interpolation steps are not performed.

The object and reference frames are then numerically subtracted from the hologram frame, $HH^*-OO^*-RR^*$, before applying Fresnel diffraction, to eliminate zero-order

diffraction. A clean holographic image is then obtained even at 0° offset between the object and reference beams. It is believed that this leaves conjugate images RO^* and R^*O , but one of these is substantially completely out of focus and does not appear to interfere with the process of the present invention. The holographic image field is then calculated as above.

5 The numerical reconstruction and digital interference proceeds by starting from a 512×512 pixel, 4.8×4.8 mm digital hologram (with zero-order subtraction). The Fresnel diffraction patterns are calculated at $N+1 = 21$ z values, $z = Z_1 + m\delta$, where $Z_1 = 195$ mm is the original object distance **51** and $m = -10, -9, \dots, 9, 10$. This results in a 3D array of $512 \times 512 \times 21$ pixels and a $4.8 \times 4.8 \times 2.35$ mm volume, representing the holographic optical field
10 variation in this volume.

This process is repeated for 20 sets of triple digitally recorded images at 20 different wavelengths. At this point, the field patterns in the individual 3D arrays show little variation along a few millimeters of the z direction. Then the 20 3D arrays are numerically superposed by adding the arrays elementwise, resulting in the accumulated field array of the same size.
15 This new array then has a field distribution that represents the 3D object structure, as described previously. In practice, owing to the laser's frequency fluctuation and imprecision of the wavelength intervals, there is a random phase variation among the 20 calculated field arrays. This may be corrected by introducing a global phase factor into each of the 3D arrays before carrying out the summation.

20 FIG. 7B is an example of a 2D holographic image reconstructed from a single hologram at $Z_1 = 195$ mm. Imaging of diffuse scattering objects, such as the biological specimen of this exemplary illustration, using coherent illumination gives rise to speckle

noise, causing degradation of contrast and resolution. This can be reduced somewhat by optimizing the illumination aperture and the overall stability of the optical system.

The effect of digital interference is illustrated in FIG. 9. The animation frames show a 2.35×4.8 mm z - y cross section at $x = -1.3$ mm, as the holographic field arrays are added on top of each other from 1 to 20. When $N = 1$, the z variation is due to a small diffraction of the field, but at $N = 2$ the field exhibits cosine variation in the z direction, with a different phase origin depending upon the object-to-surface distance. As further arrays are added, the cosine pattern becomes similar to δ -function spikes in the z direction. When all 20 field arrays are accumulated, only one z value has a significant intensity above noise for each object surface pixel.

FIGS. 10A and 10B show cross-sectional tomographic views of the accumulated field array, with FIG. 10A showing x - y cross sections as the axial distance z is varied from the front tip of the mouthpiece to the back of the eyes, over a distance of 2.35 mm. FIG. 10B shows z - y cross sections as the x value is varied from 1.84 to 0.52 mm, or from the edge of the insect's left eye to the middle of the face.

The contrast of these images is numerically enhanced by taking the logarithm and applying thresholding to the calculated field arrays. Thus tomographic imaging by wavelength-scanning digital interference is clearly demonstrated. The accumulation of N holographic field arrays has an additional benefit of averaging out the coherent speckle noise.

FIG. 7C is obtained by starting from the accumulated array and summing over the z direction, yielding a 2D image of the object **80**. The resulting image quality approaches that of the photographic image of FIG. 7A, and the speckle noise is substantially completely removed. Further, each object surface element is imaged in focus regardless of the depth of

focus of the optical system. This feature is especially beneficial in an embodiment applied to microscopic imaging with a large numerical aperture.

An animated 3D reconstruction of the object's illuminated surface is made by plotting the brightest volume elements in 3D perspective (FIG. 11). As the azimuthal angle rotates, the two eyes and mouthpiece are recognizable as being the most prominent features. Two or three front legs are also visible, although there appear to be ghost images present.

This embodiment has demonstrated three-dimensional imaging of a small biological specimen using wavelength-scanning digital interference holography. Cross-sectional images of the object are generated with clear focus and suppression of coherent speckle noise. The resolutions achieved are $\sim 100\ \mu\text{m}$ in the axial direction and tens of micrometers in the lateral direction, as defined by the optical system and computer capacity of the present embodiment, and are thus not intended as limitations. With a semitransparent microscopic object, full tomographic imaging is possible.

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What is claimed is:

1. A method for imaging a three-dimensional object comprising the steps of:

(a) illuminating an object with radiation at a wavelength to form a reflected

image beam;

(b) providing a reference beam comprising the wavelength;

(c) recording an interference pattern between the reference beam and the image beam;

repeating steps (a)-(c) at a succession of different wavelengths separated by a

predetermined wavelength step;

computing a holographic image from the interference pattern for each wavelength; and

adding the holographic images together to form an intensity distribution pattern.

2. The method recited in Claim 1, further comprising the steps of:

extracting out a series of two-dimensional cross-sectional images from the intensity distribution pattern;

correcting microscopic image distortion in the cross-sectional images; and

reassembling the cross-sectional images into a three-dimensional model of the object.

3. The method recited in Claim 1, wherein the illuminating step comprising illuminating the object with coherent radiation.

4. The method recited in Claim 3, further comprising the step of expanding the coherent radiation prior to the illuminating step.

5. The method recited in Claim 1, wherein the predetermined wavelength step comprises a function of an axial scale of the object.

6. The method recited in Claim 1, further comprising the step of subtracting a zero-order intensity from each computed holographic image prior to the adding step.

7. The method recited in Claim 6, further comprising the steps of:

- (d) recording an image of the object only; and
- (e) recording an image of the reference beam only; and wherein:
the repeating step further comprises repeating steps (d) and (e) at the succession of different wavelengths; and
the subtracting step comprises subtracting the object-only and reference-beam-only images from the interference pattern.

8. The method recited in Claim 7, wherein the computing step comprises calculating a holographic image field at each wavelength using a Fresnel diffraction formula.

9. The method recited in Claim 1, wherein the object comprises two two-dimensional objects positioned different distances from a source of the radiation, and further comprising the step of extracting out two two-dimensional cross-sectional images from the intensity distribution pattern, each image representative of one of the objects.

5

10. The method recited in Claim 9, wherein the extracting step comprises encoding the Fresnel diffraction as a function of a Fourier transform with respect to radiation wavelength.

10

11. A system for imaging a three-dimensional object comprising:

illumination means tunable between a first wavelength and a second wavelength;

means for splitting radiation from the illumination means into an object beam and a reference beam;

15

means for directing the object beam to illuminate an object desired to be imaged to form an image beam;

means for recording an interference pattern between the reference beam and the image beam;

20

means for computing a holographic image from the interference pattern for the first and the second wavelength; and

means for adding the holographic images together to form an intensity distribution pattern.

12. The system recited in Claim 11, wherein the illumination means comprises a source of coherent radiation.

13. The system recited in Claim 12, wherein the radiation source comprises a ring dye laser.

14. The system recited in Claim 11, further comprising means for magnifying radiation from the illumination means prior to the splitting means.

15. The system recited in Claim 11, further comprising means for aperturing the object beam to a desired area.

16. The system recited in Claim 15, wherein the desired area comprises an area substantially equal to an area of the object desired to be imaged.

17. The system recited in Claim 11, wherein the recording means comprises at least one of a digital camera or a charge-coupled-device array.

18. The system recited in Claim 11, further comprising means for magnifying the interference pattern positioned between the magnifying means and the recording means.

19. The system recited in Claim 11, further comprising a first neutral-density filter positioned to filter the radiation between the illumination means and the splitting means.

ABSTRACT

A simple digital holographic apparatus and method allow reconstruction of three-dimensional objects with a very narrow depth of focus or high axial resolution. A number of holograms are optically generated using different wavelengths spaced at regular intervals.

5 They are recorded, such as on a digital camera, and are reconstructed numerically.

Multiwavelength interference of the holograms results in contour planes of very small thickness and wide separation. Objects at different distances from the hologram plane are imaged clearly and independently with complete suppression of the out-of-focus images. The technique is uniquely available only in digital holography and has applications in holographic

10 microscopy.

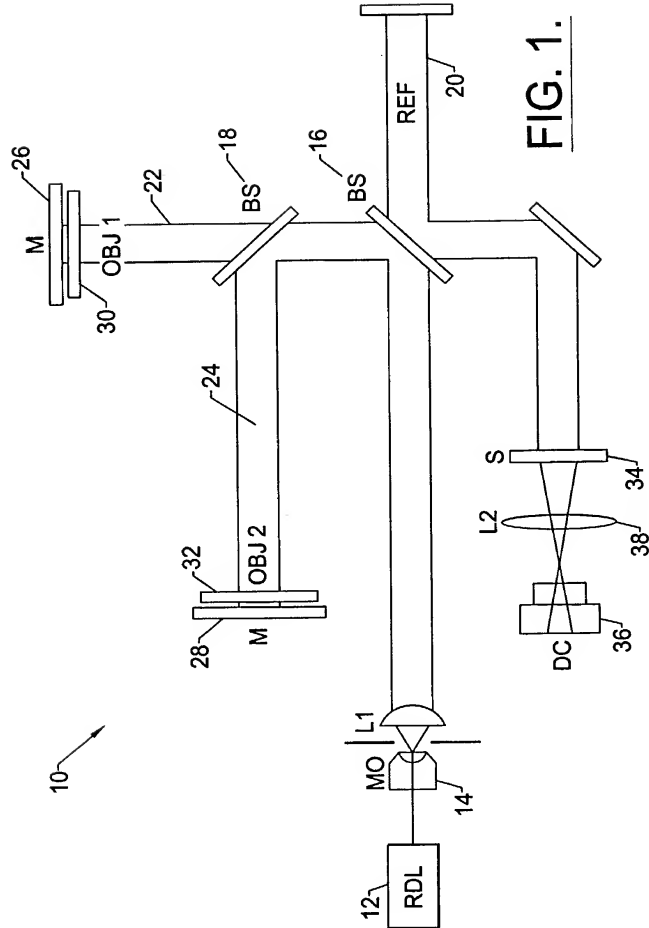


FIG. 1.

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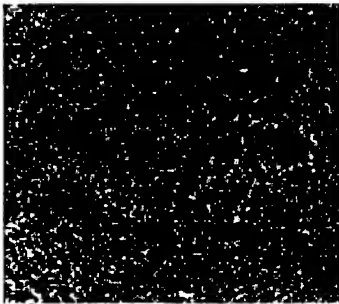


FIG. 2A.

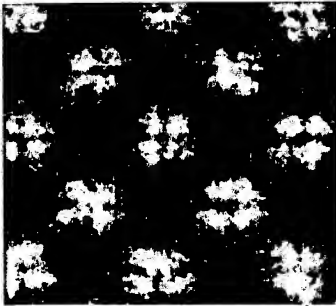


FIG. 2B.



FIG. 2C.

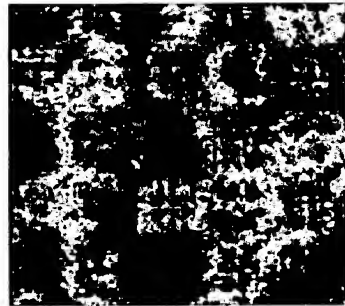


FIG. 2D.

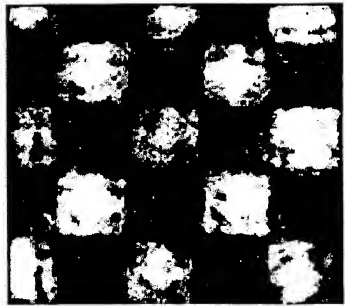


FIG. 2E.

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FIG. 3C.

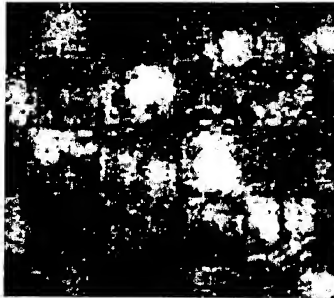


FIG. 3B.



FIG. 3A.

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FIG. 4A.

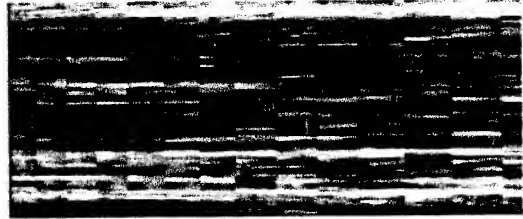


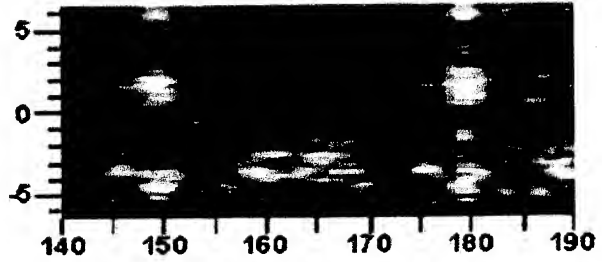
FIG. 4B.



FIG. 4C.



FIG. 4D.



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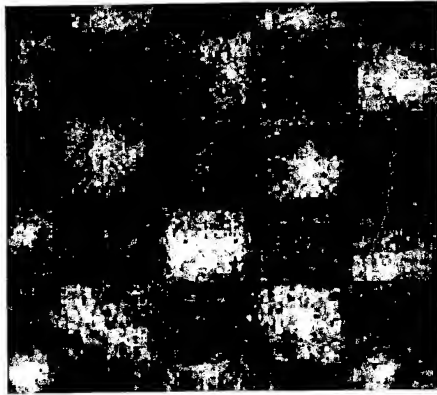


FIG. 5A.



FIG. 5B.

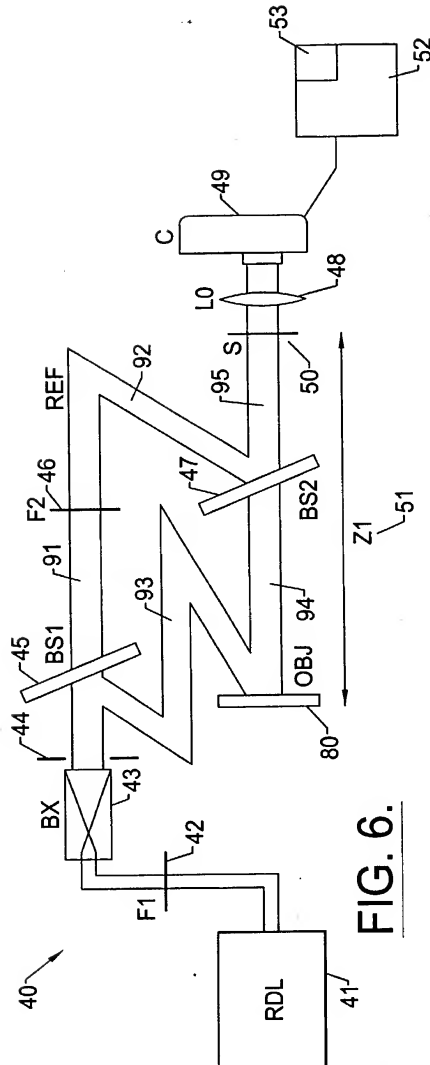




FIG. 7C.

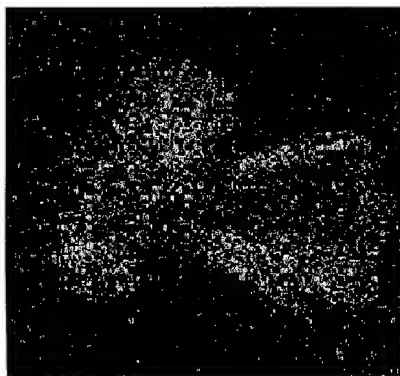


FIG. 7B.



FIG. 7A.

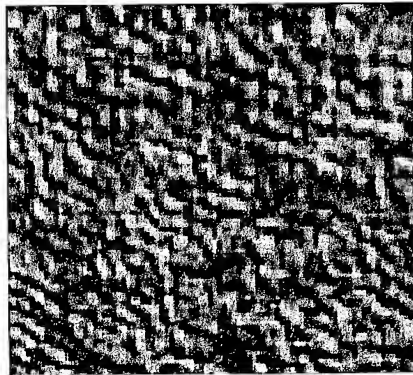


FIG. 8A.

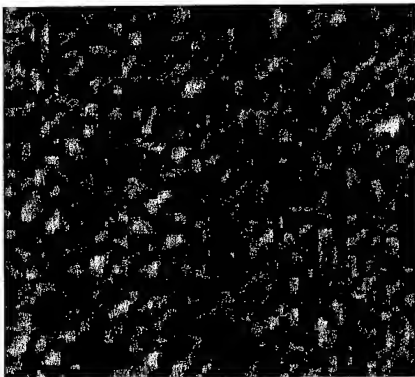


FIG. 8B.

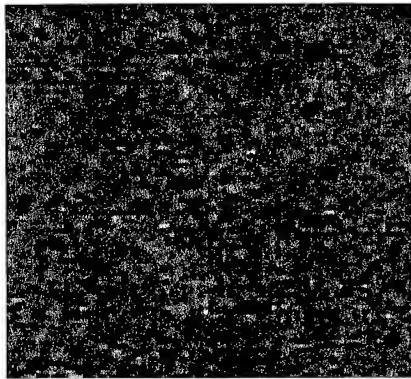


FIG. 8C.

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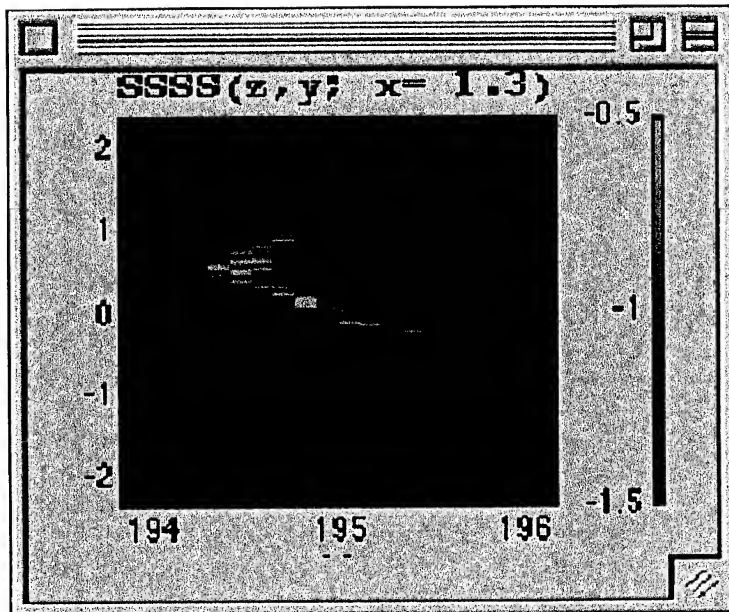
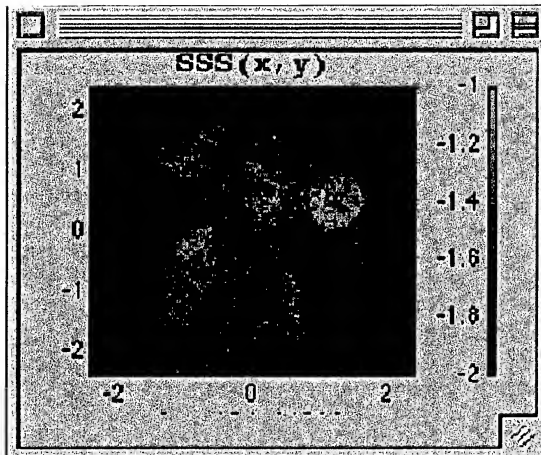
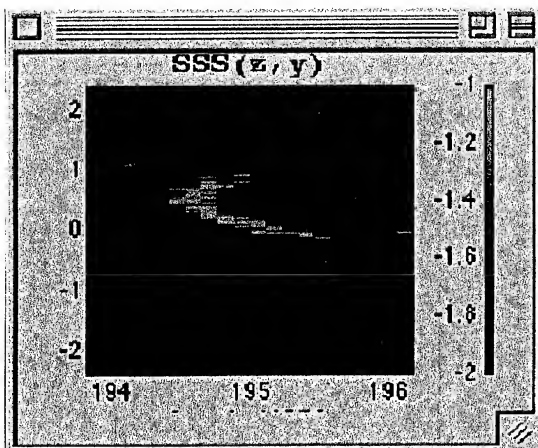
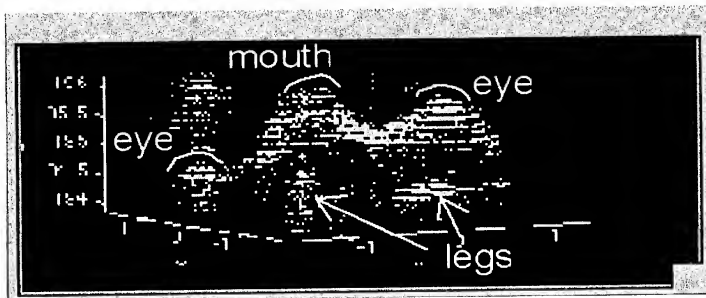


FIG. 9.

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FIG. 10A.FIG. 10B.

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FIG. 11.

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	22402.US
	First Named Inventor	Myung K. Kim
	COMPLETE IF KNOWN	
	Application Number	10/089,266
	Filing Date	3/27/2002
	Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DIGITAL INTERFERENCE HOLOGRAPHIC MICROSCOPE AND METHODS

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 3/27/2002 as United States Application Number or PCT International Application Number 10/089,266 and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

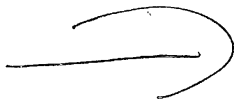
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Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet TPO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application, designating the United States of America, listed below and, *insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112*, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/US00/26462	September 27, 2000	

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Christopher F. Regan	<u>34,906</u>	Michael W. Taylor	<u>43,182</u>
David S. Sigalove	<u>36,000</u>	John F. Woodson, II	<u>45,236</u>
Richard K. Warther	<u>32,180</u>	Mark R. Matek	<u>46,894</u>
Carl M. Napolitano	<u>37,405</u>	Richard A. Hinson	<u>47,652</u>
Enrique G. Estévez	<u>37,823</u>	Brandy C. Hill	<u>P-51,280</u>
Jacqueline E. Hartt	<u>37,845</u>		


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Direct all correspondence to:	<input type="checkbox"/> Customer Number or Bar Code Label	OR <input checked="" type="checkbox"/> Correspondence address below
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Name	Jacqueline E. Hartt				
Address	Allen, Dycr, Doppelt, Milbrath & Gilchrist, P.A.				
Address	255 South Orange Avenue, Suite 1401 P.O. Box 3791				
City/State/Zip	Orlando, Florida 32802-3791				
Country	US	Telephone	(407) 841-2330	Fax	(407) 841-2343

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle — [if any])		Family Name or Surname	
1-00 Myung K. Kim			
Inventor's Signature			Date
Residence	Tampa, Florida FL	Country	US
Post Office Address	18214 Sweet Jasmine Drive		
City/State/Zip	Tampa, Florida 33647	Country	US

☐ Additional inventors are being named on the supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto

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Docket No. USF-T159XC1
Serial No. 10/089,266

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (not yet assigned)
Art Unit : (not yet assigned)
Applicant(s) : Myung K. Kim
Serial No. : 10/089,266
Filed : March 27, 2002
For : Digital Interference Holographic Microscope and Methods

Assistant Commissioner for Patents
Washington, D.C. 20231

REVOCATION OF POWER OF ATTORNEY AND
NEW POWER OF ATTORNEY

Sir:

UNIVERSITY OF SOUTH FLORIDA is the assignee of record of an application for letters patent, filed on March 27, 2002, as Serial No. 10/089,266, for an invention entitled "Digital Interference Holographic Microscope and Methods," by virtue of an assignment from Myung K. Kim to University of South Florida dated May 23, 2002, a copy of which is attached hereto.

UNIVERSITY OF SOUTH FLORIDA, having a place of business at 4202 East Fowler Avenue FAO126, Tampa, Florida 33620-7900, certifies by the above that it is the owner of the entire right, title and interest in, to and under the above-identified application, hereby revokes any and all Power of Attorney in all persons appointed prior to July 23, 2002, and hereby appoints Blum, Seth M., Registration No. 45,489; Efron, Margaret, Registration No. 47,545; Eisenschenk, Frank Christopher, Registration No. 45,332; Kyle, Jean, Registration No. 36,987; Ladwig, Glenn P., Registration No. 46,853; Lloyd, Jeff, Registration No. 35,589; Pace, Doran R., Registration No. 38,261; Parker, James S., Registration No. 40,119; Saliwanchik, David R., Registration No. 31,794; and Sanders, Jay M., Registration No. 39,355 of the firm SALIWANCHIK, LLOYD & SALIWANCHIK A Professional Association, of 2421 N.W. 41st Street, Suite A-1, Gainesville, FL 32606-6669 as its attorneys to prosecute said application, and to transact all business in the Patent and Trademark Office connected therewith; and requests that all correspondence be sent to:

Jeff Lloyd
2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669
(352) 375-8100



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Docket No. USF-T159XC1
Serial No. 10/089,266

CERTIFICATE UNDER 37 CFR §3.73(b)

UNIVERSITY OF SOUTH FLORIDA certifies that it is the owner of the entire right, title, and interest in the patent application identified above by virtue of an assignment from Myung K. Kim to University of South Florida dated May 23, 2002, a copy of which is attached hereto.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

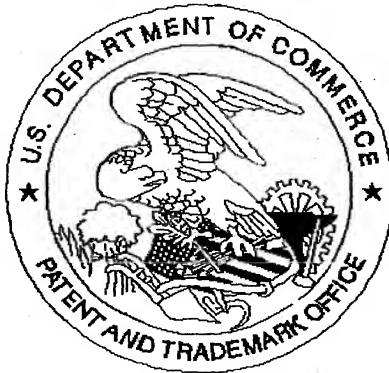
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issuing thereon.

UNIVERSITY OF SOUTH FLORIDA

By: Name: Allen KrantzTitle: Director, Division of Patents & LicensingDate: 7/20/02

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